

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/027,226	12/20/2001	Ranjani V. Parthasarathy	57313US002	9039
32692 7	590 08/24/2006		EXAMINER	
3M INNOVATIVE PROPERTIES COMPANY			HANDY, DWAYNE K	
PO BOX 3342 ST. PAUL, M	7 N 55133-3427		ART UNIT PAPER NUMBER	
•			1743	
			DATE MAILED: 08/24/2000	6

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)		
Office Action Summary		10/027,226	PARTHASARATHY ET AL.		
		Examiner	Art Unit		
		Dwayne K. Handy	1743		
	NG DATE of this communication app		correspondence address		
Period for Reply					
WHICHEVER IS I - Extensions of time ma after SIX (6) MONTHS - If NO period for reply it - Failure to reply within the Any reply received by	STATUTORY PERIOD FOR REPLY LONGER, FROM THE MAILING DAY be available under the provisions of 37 CFR 1.13 from the mailing date of this communication. s specified above, the maximum statutory period we the set or extended period for reply will, by statute, the Office later than three months after the mailing justment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tin rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. ED (35 U.S.C. § 133).		
Status					
1) Responsive	to communication(s) filed on 5/22/	06.			
·	This action is FINAL . 2b) This action is non-final.				
3)☐ Since this a	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in ac	cordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.		
Disposition of Claim	s				
4a) Of the all 5) ☐ Claim(s) 6) ☑ Claim(s) <u>50</u> 7) ☐ Claim(s)	3-28,30-55,62-67,77 and 78 is/are p bove claim(s) <u>1,3-28 and 30-49</u> is/a is/are allowed. -55,62-67, 77 and 78 is/are rejected is/are objected to. are subject to restriction and/or	re withdrawn from consideration.			
Application Papers					
_	ation is objected to by the Examine	•			
•	(s) filed on is/are: a)☐ acce		Examiner.		
	y not request that any objection to the				
Replacemen	t drawing sheet(s) including the correcti	on is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).		
11)☐ The oath or	declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.		
Priority under 35 U.S	S.C. § 119				
a) All b) 1. Certif 2. Certif 3. Copie applic	ment is made of a claim for foreign Some * c) None of: lied copies of the priority documents lied copies of the priority documents as of the certified copies of the priority documents at lied cattering the lied detailed Office action for a list of the lied detailed det	s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)).	ion No ed in this National Stage		
Attachment(s)	C' 1/DTO 000)	🗀			
	on's Patent Drawing Review (PTO-948) re Statement(s) (PTO-1449 or PTO/SB/08)	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:			

Art Unit: 1743

DETAILED ACTION

Double Patenting

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 50-53 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 53 and 56-58 of copending Application No. 10/417,609 in view of Dusterhoft (6,451,260). This is a provisional obviousness-type double patenting rejection. This rejection remains in effect.

Claims 50-53 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 39-42 of copending Application No. 10/417,609 in view of Dusterhoft (6,451,260). This is a

Art Unit: 1743

<u>provisional</u> obviousness-type double patenting rejection. This rejection also remains in effect.

The Examiner notes Applicant's desire to address these rejections upon indication of otherwise allowable subject matter (pages 14-15 of submission dated 3/11/05).

Inventorship

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 1743

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 5. Claims 50-52, 64, 65, 77 and 78 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson et al. (6,344,326) in view of Dusterhoft et al. (6,451,260).
- 6. Claim 53 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson and Dusterhoft as applied above, and further in view of Mian et al. (6,319,469). Claims 54, 55, 66 and 67 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson and Dusterhoft as applied above, and further in view of Chisolm et al. (4,399,009).
- 7. Claims 62 and 63 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nelson and Dusterhoft as applied above, and further in view of Kellogg et al. (6,632,399).

These rejections were made in the previous Office Action (mailed 12/14/04) and remain in effect. Please see Response to Arguments below.

Art Unit: 1743

Response to Arguments

8. Applicant's arguments filed 3/11/05 have been fully considered but they are not persuasive. Applicant has argued that Dusterhoft does not teach "solid hydrophilic particles of a hydrophilic solid support partially embedded within a hydrophobic matrix". In particular, Applicant has argued that paragraph 74 of Dusterhoft does not contain this feature. The Examiner respectfully disagrees and submits that paragraph 74 of Dusterhoft does indeed disclose "solid hydrophilic particles that are partially embedded within a hydrophobic matrix".

Applicant has submitted an exhibit that defines a matrix as "a surrounding substance within which something is contained or embedded". The Examiner agrees with this definition. The Examiner now refers to Paragraph 76 of Dusterhoft. Note the passages in bold.

element according to the present invention the following mechanisms are involved: When the nonsolvent diffuses into the layer of resin solution, the solubility of the resin is gradually decreased. As the limit of solubility is reached the resin begins to precipitate from the solution at individual points. The precipitation of the resin proceeds at the points of initial precipitation. Ultimately, the solvent/nonsolvent is enclosed in large interconnecting enclaves in a solid matrix of resin (1). The interconnecting enclaves form the liquid-permeable channels of the final microporous element. If a synthetic resin is used which comprises both hydrophilic and hydrophobic segments (2), the hydrophobic segments will be forced towards each other and brought into contact with each other (3) as the concentration of nonsolvent in the resin solution increases. There will be interactions between the hydrophobic segments of neighboring molecule chains, which result in the formation of a crystalline hydrophobic backbone of the precipitated resin (4). The hydrophilic segments will be oriented towards the enclaves (5) filled with solvent/nonsolvent. Accordingly, a

Art Unit: 1743

microporous element is obtained where the **liquid-permeable channels are predominantly hydrophilic (6)**. This provides the benefit of biocompatibility. The term "biocompatibility" means that the three-dimensional structure of biopolymers, for example proteins, peptides, nucleic acids, oligonucleotides, polysaccharides or derivatives thereof, is maintained. The interphase forces are less destructive when the polymer surface is rich in hydroxyl, amide or ether groups.

The resin contains both hydrophilic and hydrophobic elements (bold passage #2). The resin is formed through precipitation (bold passages #1 and #4) which includes the formation of a crystalline hydrophobic backbone (#4). Therefore, the resin is a solid formed of a hydrophobic material. The solid matrix of resin **encloses** large interconnecting enclaves (#1 again). Therefore, the precipitated resin also has channels on the inside. These enclaves attract hydrophobic segments (#5) when the solvent is present. When the solvent is removed, the enclaves become channels of hydrophilic material comprised of the segments (#6). Therefore, the channels – and the hydrophobic material – are inside the resin. Given that the resin surrounds the channels and the resin portions away from the channel are hydrophobic, the Examiner believes this paragraph clearly teaches "solid hydrophilic particles of a hydrophilic solid support partially embedded within a hydrophobic matrix". The hydrophobic portion of the resin is a substance which surrounds solid hydrophobic particles (i.e. segments) contained in the enclaves (channels).

Conclusion

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 1743

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dwayne K. Handy whose telephone number is (571)-272-1259. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on (571)-272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1743

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DKH August 20, 2006

Gill Warden
Supervisory Patent Examiner
Technology Center 1700